



**MitonOptimal**

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# **MitonOptimal Offshore Fund**

## **Application Form & Bank Instruction**

[www.mitonoptimal.com](http://www.mitonoptimal.com)

# Application Form & Bank Instruction

## IP Fund Managers Guernsey Limited

IP Fund Managers Guernsey Limited (the “Principal Manager”) is licensed by the Guernsey Financial Services Commission (“GFSC”) to conduct controlled investment business under the Protection of Investors (Bailiwick of Guernsey) Law 1987, as amended, and complies with the rules promulgated by the GFSC, including the Authorised Collective Investment Schemes (Class B) Rules, 2013.

### MitonOptimal Offshore Fund

This application form is made up of six sections and a CDD Supplement and should only be completed once you have read the current scheme particulars, financial accounts and trust instrument. If you would like to receive a copy of the current scheme particulars, financial accounts and trust instrument, please contact us on +44 (0)1481 702400. If you are in any doubt about the information contained within this application form, or the scheme particulars, or the financial accounts, or the trust instrument; you should consult your investment advisor or independent financial advisor.

This application form is current as at the date of publication but is subject to change without notice. Please complete the application form and return it to:

#### **JTC Fund Solutions (Guernsey) Limited**

PO Box 156, Dorey Court, Admiral Park,  
St Peter Port, Guernsey, Channel Islands, GY1 4EU

*Telephone:* + 44 (0) 1481 702400 (*Fund Administrator - Investor Services Department*)

*Facsimile:* + 44 (0) 1481 734546

*Email:* [mitonoptimal@jtcgroup.com](mailto:mitonoptimal@jtcgroup.com)

# Application Form

## Section 1: Investor Name

### 1.1 Private Individuals

Please complete in block capitals.

#### First Named Holder

Title (Mr / Mrs / Miss / other):

Surname:

Forenames:

Residential address:

  

#### Contact details - Please include any relevant international dialling codes

Daytime telephone number:

Home telephone number:

Fax number:

Email:

In respect of joint account holders please complete the details below. All correspondence will be sent to the first named holder unless specific instructions are received otherwise.

*The first name holder should complete this section, section 4, and a Client Due Diligence Supplement in addition to sections marked for all investors*

#### Second Named Holder

Title (Mr / Mrs / Miss / other):

Surname:

Forenames:

Residential address:

  

#### Contact details - Please include any relevant international dialling codes

Daytime telephone number:

Home telephone number:

Fax number:

Email:

In respect of joint account holders please complete the details below. All correspondence will be sent to the first named holder unless specific instructions are received otherwise.

*The second name holder should complete this section, section 4, and a Client Due Diligence Supplement in addition to sections marked for all investors*

## Section 1: Investor Name

### 1.1 Private Individuals (Continued)

Please complete in block capitals.

#### Third Named Holder

Title (Mr / Mrs / Miss / other):

Surname:

Forenames:

Residential address:

  

#### Contact details - Please include any relevant international dialling codes

Daytime telephone number:

Home telephone number:

Fax number:

Email:

In respect of joint account holders please complete the details below. All correspondence will be sent to the first named holder unless specific instructions are received otherwise.

***The third name holder should complete this section, section 4, and a Client Due Diligence Supplement in addition to sections marked for all investors***

#### Fourth Named Holder

Title (Mr / Mrs / Miss / other):

Surname:

Forenames:

Residential address:

  

#### Contact details - Please include any relevant international dialling codes

Daytime telephone number:

Home telephone number:

Fax number:

Email:

In respect of joint account holders please complete the details below. All correspondence will be sent to the first named holder unless specific instructions are received otherwise.

***The fourth name holder should complete this section, section 4, and a Client Due Diligence Supplement in addition to sections marked for all investors***

## Section 1: Investor Name

### 1.2 Corporate/Trust/Partnership Details

Please complete all sections in block capitals.

#### Account Designation

Account Name (if applicable)

Trust Company Name (if applicable)

#### Registered Office address

Postcode:

Registration Number:

Registered/Incorporated in:

#### Correspondence address

(If different from Registered Office address)

Postcode:

#### Contact Details Please include any relevant international dialling codes.

Key Contact:

Daytime Telephone:

Mobile Telephone:

Fax Number:

Email:

(for the purpose of receiving account information by electronic means)

*The Corporate/Trust/Partnership should complete section 1.2 and a Client Due Diligence Supplement in addition to sections marked for all investors*

### 1.3 Bank Account Details *(To be completed by all investors)*

#### Please provide us with your bank details

(Proceeds from the redemption of Units will only be paid to the account as specified in this section of the application form. We may write to your bankers to assist in satisfying our compliance procedures.)

##### Beneficiary Details

Bank:

Bank Address:

Post Code:

Sort Code:

Swift Code:

Account Number / IBAN:

Account Name:

##### Correspondent Details

Bank:

Bank Address:

Post Code:

Swift / BIC Code:

## SECTION 2 (To be completed by all investors)

### FUNDS

I/we wish to invest (indicate amount below) in the following class fund(s)/feederfund(s)

Fund Class	Subscription Amount	ISIN Ref.	Sedol Ref.
<b>Core Growth + US\$ Fund</b>			
US\$ Class <sup>1*</sup>	USD	GG00B94V9Y81	B94V9Y8
US\$ I Class <sup>2*</sup>	USD	GG00BDCCWV94	BDCCWV9
US\$ E Class <sup>1*</sup>	USD	GG00BDCCWW02	BDCCWW0
Sing\$ Hedged Class <sup>1*</sup>	SGD	GG00BDCCWX19	BDCCWX1
Sing\$ Hedged I Class <sup>2*</sup>	SGD	GG00BDCCWY26	BDCCWY2
Sing\$ Hedged E Class <sup>1*</sup>	SGD	GG00BDCCWZ33	BDCCWZ3
<b>Core Growth + GBP</b>			
GBP Class <sup>1**</sup>	GBP	GG00B94PQM78	B94PQM7
GBP I Class <sup>2**</sup>	GBP	GG00BDCCX052	BDCCX05
GBP E Class <sup>1**</sup>	GBP	GG00BDCCX169	BDCCX16
<b>Core Diversified US\$ Fund</b>			
US\$ Class <sup>1*</sup>	USD	GB00B02STH18	B02STH1
US\$ I Class <sup>2*</sup>	USD	GG00BDCCX722	BDCCX72
US\$ E Class <sup>1*</sup>	USD	GG00B8B7P331	B8B7P33
Sing\$ Hedged Class <sup>1*</sup>	SGD	GG00BDCCX839	BDCCX83
Sing\$ Hedged I Class <sup>2*</sup>	SGD	GG00BDCCX946	BDCCX94
Sing\$ Hedged E Class <sup>1*</sup>	SGD	GG00B8B7PN32	B8B7PN3
<b>Core Diversified GBP</b>			
GBP Class <sup>1**</sup>	GBP	GB00B02T2Q45	B02T2Q4
GBP I Class <sup>2**</sup>	GBP	GG00BDCCXD87	BDCCXD8
GBP E Class <sup>1**</sup>	GBP	GG00B8B7PK01	B8B7PK0
<b>International Managed Flexible Fund</b>			
US\$ Class <sup>1*</sup>	USD	GG00BDCCXM78	BDCCXM7
US\$ I Class <sup>2*</sup>	USD	GG00B3T97968	B3T9796
<b>UK Select Fund</b>			
GBP Class <sup>1**</sup>	GBP	GG00B16TBT38	B16TBT3
GBP I Class <sup>2**</sup>	GBP	GG00BDCCXL61	BDCCXL6
GBP E Class <sup>1**</sup>	GBP	GG00BYY9LL82	BYY9LL8
<b>Special Situations Fund</b>			
GBP Class <sup>1**</sup>	GBP	GB00B16TFR98	B16TFR9
GBP I Class <sup>2**</sup>	GBP	GG00BDCCXF02	BDCCXF0
GBP E Class <sup>1**</sup>	GBP	GG00B8B7Q305	B8B7Q30
US\$ Hedged Class <sup>1**</sup>	USD	GB00B16TG092	B16TG09

## SECTION 2 (Continued)

### FUNDS

I/we wish to invest (indicate amount below) in the following class fund(s)/feeder fund(s)

Fund Class	Subscription Amount	ISIN Ref.	Sedol Ref.
<b>Special Situations Fund (Cont.)</b>			
US\$ Hedged I Class <sup>2**</sup>	USD	GG00BDCCXG19	BDCCXG1
US\$ Hedged E Class <sup>1**</sup>	USD	GG00B8B7Q412	B8B7Q41
Euro Hedged Class <sup>1**</sup>	EUR	GG00B4TTNS42	B4TTNS4
Euro Hedged I Class <sup>2**</sup>	EUR	GG00BDCCXH26	BDCCXH2
Euro Hedged E Class <sup>1**</sup>	EUR	GG00BDCCXJ40	BDCCXJ4
Sing\$ Hedged Class <sup>1**</sup>	SGD	GG00B8BW1636	B8BW163
Sing\$ Hedged I Class <sup>2**</sup>	SGD	GG00BDCCXK54	BDCCXK5
Sing\$ Hedged E Class <sup>1**</sup>	SGD	GG00B8B7QP21	B8B7QP2

### Subscription Notes:

#### Minimum Subscriptions

Fund Class	Minimum Initial Subscription or currency equivalent (relative to fund base currency)	Minimum Subsequent Subscription or currency equivalent (relative to fund base currency)
<sup>1</sup> US\$, GBP, Euro, Sing\$ Class	5,000	1,000
<sup>1</sup> E Class	5,000	1,000
<sup>2</sup> I Class	1,000,000	1,000

#### Base Currency

- \* Please note that the minimum and subsequent investment amounts are in **USD** or the equivalent value in another currency.
- \*\* Please note that the minimum and subsequent investment amounts are in **GBP** or the equivalent value in another currency.
- \*\*\* Please note that the minimum and subsequent investment amounts are in **EUR** or the equivalent value in another currency.

## SECTION 3 (To be completed by all investors)

### UPFRONT FEE

I/we understand that the maximum upfront fee is 5% on this application, calculated on the gross amount submitted for investment, unless stipulated otherwise in this box:

Broker Name:	<input type="text"/>		
Broker Commission:	<input type="text"/>	%	
Administration Charge:	1.00	%	
Total Up-front Fee:	<input type="text"/>	%	

Please note E Class Units are excluded from upfront fees as penalties may be applied on redemption. Please refer to the relevant scheme particulars for details.

### FINANCIAL ADVISOR DETAILS

(We may write to your financial advisor to assist in satisfying our compliance procedures.)

Advisor Name:	<input type="text"/>		
Advisor Address:	<input type="text"/>		
Tel. No:	<input type="text"/>	Fax No:	<input type="text"/>
E-mail Address:	<input type="text"/>		

Would like us to provide statements/contracts notes to your financial advisor. Yes  No

## SECTION 4 (To be completed by all investors)

### CLIENT DECLARATION AND SIGNATURE

1. I / we represent and warrant that I / we have read and understood the Scheme Particulars, financial accounts and trust instrument including the risk factors set out therein.
2. I / we represent and warrant that (a) I / we have not relied on any representations or other information purported to be given on behalf of the Miton Optimal Offshore Fund (the "Fund") except as set forth in the scheme particulars or the financial accounts; (b) the Units are not being purchased with a view to resale; and (c) all consents required to be contained and all legal requirements necessary to be complied with or observed in order for this agreement or the issuance of the Units to be lawful and valid under the laws of any jurisdiction to which the applicant is subject have been obtained, complied with or observed.
3. I / we represent and warrant that: (a) I / we am / are aged 18 or over; (b) that I / we am / are not resident in the United States Of America ("USA"); and (c) that these Units are not being acquired on behalf of any person resident in the USA.
4. I / we understand that by providing a dealing request either as a PDF scan of the original signed instruction attached to an email or by fax, JTC Fund Solutions (Guernsey) Limited are able to accept the instruction to realise my / our investment, but money will only be paid away upon receipt of the original signed dealing request by post, providing any outstanding due diligence has also been obtained.
5. I / we understand that the value of Units can go down as well as up, and that upon realisation of the investments, the proceeds received may be less than the amount invested.
6. I / we represent and warrant that I / we have read and fully satisfied the "Documentation" requirements and that the required documentation is attached. I / we acknowledge that failure to provide the required documentation may result in my / our application being rejected or delayed.
7. I / we agree to provide any further information if requested by JTC Fund Solutions (Guernsey) Limited ("Administrator") on behalf of the Manager.
8. I / we agree that this application form, the current scheme particulars and the trust instrument constitute an agreement between me / us and the Manager.
9. I / we represent and warrant that I / we am / are resident / not resident in Guernsey for tax purposes.
10. I / we represent and warrant that the initial investment shall be received from an account held in my / our own name, by telegraphic transfer drawn on my / our own bank account.
11. I / we acknowledge and agree that:
  - (a) information provided to the Manager or JTC Fund Solutions (Guernsey) Limited ("Administrator") by me / us will be stored on the Manager and / or the Administrator's computer system and manually;
  - (b) for the purposes of the Data Protection (Bailiwick of Guernsey) Law, 20017 (the "Data Protection Law") and other relevant data protection legislation which may
    - (i) process my / our personal data (including sensitive personal data) as required by or in connection with my / our investment in the Fund including processing personal data in connection with credit and money laundering checks on me / us;
    - (ii) communicate with me / us as necessary in connection with my / our affairs and generally in connection with my / our investment in the Fund;
    - (iii) provide personal data to such third parties as the Manager or the Administrator may consider necessary in connection with my / our affairs and generally in connection with my / our investment in the Fund or as the Data Protection Law may require, including to third parties outside the Bailiwick of Guernsey or the European Economic Area;
    - (iv) without limitation, provide such personal data to the Trustee and the Registrar (as those terms are defined in the scheme particulars) for processing, notwithstanding that any such party may be outside the Bailiwick of Guernsey or the European Economic Area;
    - (v) transfer personal data to other companies within the same group as the Manager and the Administrator (including to any such companies which are outside the Bailiwick of Guernsey or the European Economic Area) who wish to use such information for marketing purposes to promote their services to me / us, including by means of electronic communications;
    - (vi) process my / our personal data for the Manager or the Administrator's internal administration.
12. In providing the Manager and the Administrator with information, I / we hereby represent and warrant to the Manager and the Administrator that I / we have obtained the consent of any data subjects other than myself / ourselves to the Manager and the Administrator holding and using their personal data for the purposes other than the purpose set out in paragraph (v) above (including the explicit consent of the data subjects for the processing of any sensitive personal data for the purpose set out in paragraph (i) above) and that I / we will use my / our best endeavours to obtain the consent of the data subjects to the Manager or the Administrator holding and using personal data for the processing of any personal data for the purpose set out in paragraph (v) above.
13. For the purposes of this Application Form, "data subject", "personal data" and "sensitive personal data" shall have the meanings attributed to them in the Data Protection Law.
14. This agreement shall be governed by and interpreted in accordance with Guernsey law.



**SECTION 4**  
**(Continued)**

**15. Private Individuals**

1st named holder Signature:	<input type="text"/>	Dated:	<input type="text"/>
Print Name:	<input type="text"/>		
2nd named holder Signature:	<input type="text"/>	Dated:	<input type="text"/>
Print Name:	<input type="text"/>		
3rd named holder Signature:	<input type="text"/>	Dated:	<input type="text"/>
Print Name:	<input type="text"/>		
4th named holder Signature:	<input type="text"/>	Dated:	<input type="text"/>
Print Name:	<input type="text"/>		

**Signatory Authority**

I/we authorise the Company to act upon instructions placed by any ONE holder on behalf of all joint holders.

Yes:  No:

**16. Corporate / Trust / Partnership**

Authorised signatory	<input type="text"/>	Authorised signatory	<input type="text"/>
Print Name & Position	<input type="text"/>	Print Name & Position	<input type="text"/>
Dated	<input type="text"/>	Dated	<input type="text"/>

## Section 5 (To be completed by all investors, as appropriate)

### Documentation

Before submitting your application, please ensure that you have satisfied our application requirements by ticking the relevant boxes. Please also refer to Section 7 'Guidance on Certification of Documentation' when providing certified copies of documentation. We also may request additional documentation.

#### 1. WE REQUIRE THE FOLLOWING FROM PRIVATE INDIVIDUALS:

- A completed application form.
- A certified copy of the passport or national identity card of each applicant, together with a certified copy of a utility bill or other as proof of the residential address, such documentation is to be less than 3 months old.
- A telephone number for the first named applicant.
- A certified copy of your bank statement or letter from your bank, confirming the bank details as noted in this application form. (Not more than 3-months old.)

#### 2. WE REQUIRE THE FOLLOWING FROM THE TRUSTEES:

- A completed application form.
- An original certified copy of the trust deed, or documentary evidence supporting the existence of the trust, the appointment of the trustees, the protectors (if any) and the identity of the settlor and the Beneficiaries. Verification of the identity of beneficiaries, settler and other principals as in point 1 above:-
- If the trustee(s) is an / are individual(s), full details will be required as in 1 above.
- A certified copy of your bank statement or letter from your bank, confirming the bank details as noted in this application form. (Not more than 3-months old.)

#### 3. WE REQUIRE THE FOLLOWING FROM CORPORATIONS:

- A completed application form.
- A certified copy of the company's certificate of incorporation, the memorandum and articles of association, or equivalent constitutive documents.
- A certified copy of the passport or national identity card of each company employee authorised to deal on this account. Together with a certified copy of a utility bill or other proof of residential address, such documentation to be less than 3 months old.
- An authorised signatory list. If the Directors are individuals, full details will be required as in point 1 above. Verification of the beneficial owners identities and residential address as in point 1 above, holding a 25% or more beneficial interest in the company.
- A certified copy of your bank statement or letter from your bank, confirming the bank details as noted in this application form. (Not more than 3-months old.)

#### 4. WE REQUIRE THE FOLLOWING FROM PARTNERSHIPS:

- A completed application form.
- A certified copy of the partnership deed or agreement, or equivalent constitutive documents. The application form should be signed by all partners (if possible) and a certified copy of the passport or national identity card of each partner, should also be provided.
- If all partners do not sign the application form, a certified copy of the authority in the form of a certified resolution given by all the partners to the applicant should be provided.
- An authorised signatory list, if instructions are only to be accepted from certain partners.
- A certified copy of your bank statement or letter from your bank, confirming the bank details as noted in this application form. (Not more than 3-months old.)

*Please note that additional information may be requested if US or UK indicia are present*

Section 6 (Optional)

# Bank Instruction Letter

For use when paying by telegraphic transfer.

### YOUR BANK ACCOUNT DETAILS:

To the Manager of Bank / Building Society

Address:

My / our account name:

My / our account number:

Please remit the sum of (indicate currency and amount) net of all charges by telegraphic transfer to the account indicated below. When making the payment, please quote my full name as a reference. (Please indicate below the account to which payment is to be made).

### OUR BANK DETAILS

**PLEASE ENSURE YOU ALLOW SUFFICIENT TIME FOR YOUR PAYMENTS TO REACH OUR ACCOUNT.**

Our Bankers Cash Settlement Deadlines for receipt are as follows:

- GBP 15:00 GMT/BST Same Day Value
- USD 15:00 GMT/BST Same Day Value
- EUR 14:00 GMT/BST Same Day Value
- SGD 10:30 GMT/BST One working day prior to value
- All other currencies 15:00 GMT/BST One working day prior to value

#### US Dollar Payments

Currency  
 Correspondent Bank  
 Correspondent Bank Swift  
 Fedwire  
 Beneficiary Bank Name  
 Beneficiary Bank Swift  
 Beneficiary Bank Acc Number  
 Beneficiary Acc Name  
 Beneficiary Acc IBAN  
 Sort Code  
 Beneficiary Acc Number

#### By Bank Transfer

US Dollar  
 Bank of New York Mellon  
 IRVTUS3NXXX  
 021000018  
 Butterfield Bank (Guernsey) Limited  
 BNTBGGXXXX  
 8901213829  
 JTC Fund Solutions (Guernsey) Limited Client Account re Miton Offshore Fund  
 GB55BNTB60839870029782  
 60-83-98  
 70029782

#### EUR Payments

Currency  
 Correspondent Bank  
 Correspondent Bank Swift  
 Beneficiary Bank Name Beneficiary Bank Swift  
 Beneficiary Bank Acc Number  
 Beneficiary Acc Name  
 Beneficiary Acc IBAN  
 Sort Code  
 Beneficiary Acc Number

#### By Bank Transfer

EUR  
 Barclays Bank Ireland PLC Frankfurt Branch  
 BARCDEFFXXX  
 Butterfield Bank (Guernsey) Limited  
 BNTBGGXXXX  
 DE0210630500  
 JTC Fund Solutions (Guernsey) Limited Client Acc re Miton Optimal Offshore Fund  
 GB242BNTB60839870029758  
 60-83-98  
 70029758

## SECTION 6 (Continued)

### **Sterling (International)**

Currency  
Correspondent Bank  
Correspondent Bank Swift  
Beneficiary Bank Name  
Beneficiary Bank Swift  
Beneficiary Bank Acc Number

Beneficiary Acc Name

Beneficiary AccIBAN

Sort Code

Beneficiary Acc Number

### **Sterling (UK)**

Currency  
Beneficiary Bank Name  
Beneficiary Bank Swift  
Sort Code  
Beneficiary Acc Name

Beneficiary Acc Number

### **Singapore Dollar Payments**

Currency  
Correspondent Bank  
Correspondent Bank Swift  
Cover Correspondent Bank  
Cover Correspondent Swift  
Beneficiary Bank Name  
Beneficiary Bank Swift  
Beneficiary Bank Acc Number  
Beneficiary Acc Name  
Beneficiary AccIBAN  
Sort Code  
Beneficiary Acc Number

### **By Bank Transfer**

GBP  
Barclays Bank PLC London  
BARCGB22XXX  
Butterfield Bank (Guernsey) Limited  
BNTBGGXXXX  
83822621

JTC Fund Solutions (Guernsey) Limited Client Account re Miton Offshore Fund

GB77BNTB60839870029774

60-83-98

70029774

### **By Bank Transfer (CHAPS) & By Online Banking (BACS & Faster Payments)**

GBP  
Butterfield Bank (Guernsey) Limited  
BNTBGGXXXX  
60-83-98  
JTC Fund Solutions (Guernsey) Limited Client Account re Miton Offshore Fund  
Fund  
70029774

### **By Bank Transfer**

Singapore Dollar  
Bank of New York Mellon, New York  
IRVTUS3NXXX  
Development Bank Singapore, Singapore  
DBSSSGSGXXX  
Butterfield Bank (Guernsey) Limited  
BNTBGGXXXX  
8033053216  
JTC Fund Solutions (Guernsey) Limited Client Account re Miton Offshore Fund  
GB02BNTB60839870029766  
60-83-98  
70029766

Section 6

## Bank Instruction Letter (Continued)

### YOUR AUTHORISATION:

Signature:

Print name:

Date:

Signature:

Print name:

Date:

If you wish to settle by telegraphic transfer, please complete this instruction for your bankers **and return a copy to us** with your application form.

### Send to:

**JTC Fund Solutions (Guernsey) Limited**

PO Box 156, Dorey Court, Admiral Park,  
St Peter Port, Guernsey, Channel Islands, GY1 4EU

*Telephone:* +44 (0) 1481 702400 (*Fund Administrator - Investor Services Department*)

*Facsimile:* +44 (0) 1481 734546

*Email:* [mitonoptimal@jtcgroup.com](mailto:mitonoptimal@jtcgroup.com)

